

The kernel of the Gysin homomorphism for positive characteristic - From algebraic varieties to smooth schemes

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Celebrating the research interests of René Schoof

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Let k be an algebraically closed uncountable field and let S be a smooth projective connected surface over k . Assume that the irregularity $q(S) = 0$. We study smooth projective curves on a surface of general type with geometric genus $p_g(S) = 0$ (and hence $q(S) = 0$) or a $K3$ -surface.

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Let S be a **smooth projective connected surface** over an algebraically closed field k and Σ the linear system of a very ample divisor D on S . Let $d := \dim(\Sigma)$ be the dimension of Σ and

$$\varphi_{\Sigma} : S \hookrightarrow \mathbb{P}^d$$

the closed embedding of S into \mathbb{P}^d , induced by Σ .

For any closed point $t \in \Sigma \cong \mathbb{P}^{d^*}$, let C_t be the **corresponding hyperplane section on S** , and let

$$r_t : C_t \hookrightarrow S$$

be the closed embedding of the curve C_t into S .

Let Δ be the discriminant locus of Σ , that is,

$$\Delta := \{t \in \Sigma : C_t \text{ is singular}\}.$$

Then

$$U := \Sigma \setminus \Delta = \{t \in \Sigma : C_t \text{ is smooth}\}.$$

Let

$$r_t^* : H^1(C_t, \mathbb{Z}) \rightarrow H^3(S, \mathbb{Z})$$

be the **Gysin homomorphism on cohomology groups** induced by r_t , whose kernel $H^1(C_t, \mathbb{Z})_{\text{van}}$ is called the *vanishing cohomology* of C_t (see [Voil], 3.2.3).

Let $J_t = J(C_t)$ be the Jacobian of the curve C_t and let B_t be the abelian subvariety of the abelian variety J_t corresponding to the Hodge substructure $H^1(C_t, \mathbb{Z})_{\text{van}}$ of $H^1(C_t, \mathbb{Z})$.

Let $\text{CH}_0(S)_{\deg=0}$ be the Chow group of 0-cycles of degree zero on S , and for any closed point $t \in \Sigma$, let $\text{CH}_0(C_t)_{\deg=0}$ be the Chow group of 0-cycles of degree zero on C_t .

For any closed point $t \in \Sigma$, let

$$r_t^* : \text{CH}_0(C_t)_{\deg=0} \rightarrow \text{CH}_0(S)_{\deg=0}$$

be the **Gysin pushforward homomorphism on the Chow groups** of degree zero 0-cycles of C_t and S , respectively, induced by r_t , whose kernel

$$G_t = \ker(r_t^*)$$

is called the **Gysin kernel** associated with the hyperplane section C_t .

Intermezzo on Cycles and Chow groups

Let X denote a smooth projective variety over an algebraically closed field k .

Definition

- An **algebraic cycle of dimension r** or simply an **r -cycle** is a finite formal linear combination

$$Z = \sum n_i Z_i,$$

where $n_i \in \mathbb{Z}$ and Z_i is a subvariety of X of dimension r .

- The group of r -cycles is denoted by $Z_r(X)$.
- Thinking in terms of codimension and if X is of dimension n we write

$$Z_r(X) = Z^{n-r}(X).$$

$Z^{n-r}(X)$ is the group of cycles of codimension $(n-r)$ on X .

Examples

Let X be a smooth projective variety of dimension n .

- ① The 0-cycles on X are finite formal linear combinations

$$Z = \sum n_i P_i,$$

where $n_i \in \mathbb{Z}$ and P_i is a point on X . The group of 0-cycles is denoted by $Z_0(X)$.

- ② The cycles of codimension 1 on X are finite formal linear combinations

$$Z = \sum n_i Z_i,$$

where $n_i \in \mathbb{Z}$ and Z_i is a subvariety of codimension 1 of X . The cycles of codimension 1 are also called divisors. The group of cycles of codimension 1 is denoted by $Z^1(X)$ or by $\text{Div}(X)$.

Rational and algebraic equivalence

Definition

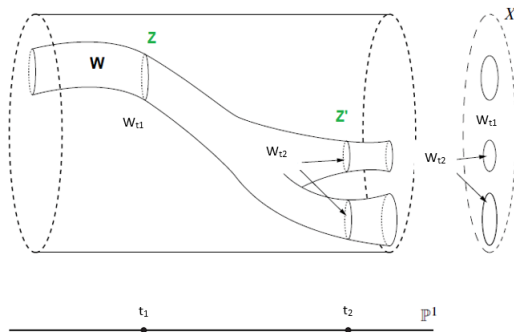
- Two r -cycles Z_1 and Z_2 on X are **rationally equivalent** if there exists a family of r -cycles parametrized by \mathbb{P}^1 interpolating between them, i.e. if there is $W \in Z_{r+1}(\mathbb{P}^1 \times X)$ not contained in any fiber $\{t\} \times X = \text{pr}_1^{-1}(t)$, $t \in \mathbb{P}^1$, such that defining by

$$W(t) := [W \cap (\{t\} \times X)]$$

the r -cycle obtained by intersecting W with the fiber $\{t\} \times X$ over t , we have

$$Z_1 = W(t_1) \text{ and } Z_2 = W(t_2) \text{ for some } t_1, t_2 \in \mathbb{P}^1.$$

- If in the above definition we replace \mathbb{P}^1 by any smooth curve then we say that Z_1 and Z_2 are **algebraically equivalent**.



Rational equivalence between two cycles z and z' on X .

Properties

- The r -cycles **rationally equivalent to 0** on X , denoted by

$$Z_r(X)_{\text{rat}} = \{Z \in Z_r(X) : Z \sim_{\text{rat}} 0\}$$

form a subgroup of $Z_r(X)$.

- The r -cycles **algebraically equivalent to 0** on X , denoted by

$$Z_r(X)_{\text{alg}} = \{Z \in Z_r(X) : Z \sim_{\text{alg}} 0\}$$

form a subgroup of $Z_r(X)$.

Chow groups

Definition

The **Chow group of r -cycles** of X is the factor group

$$\mathrm{CH}_r(X) = Z_r(X) / Z_r(X)_{\mathrm{rat}}$$

of the group of r -cycles modulo the group of r -cycles rationally equivalent to 0, i.e. the group of rational equivalence classes of r -cycles.

Results on codimension 1-cycles or divisors

In this case one has good results.

- We have

$$\mathrm{CH}^1(X) = Z^1(X)/Z^1(X)_{\mathrm{rat}} \cong \mathrm{Pic}(X) \cong H_{\mathrm{Zar}}^1(X, \mathcal{O}_X^*),$$

where $\mathrm{Pic}(X)$ is the group of isomorphism classes of invertible sheaves on X called the Picard group, and $H_{\mathrm{Zar}}^1(X, \mathcal{O}_X^*)$ is the group of isomorphism classes of line bundles on X . One can make this group into a scheme.

- We have

$$\mathrm{NS}(X) := Z^1(X)/Z^1(X)_{\mathrm{alg}},$$

it is a finitely generated abelian group, called the Neron-Severi group of X .

The quotient group

$$A^1(X) := Z^1(X)_{\text{alg}} / Z^1(X)_{\text{rat}}$$

is the connected component of unity of the scheme $\text{Pic}(X)$ denoted by $\text{Pic}^0(X)$. In $\text{char}(k) = 0$ it has the structure of an Abelian variety called the Picard variety. $A^1(X)$ is representable and we can think of it as a torus.

In contrast to the case of cycles of codimension 1 very little is known about the above groups of cycles classes. For example, if $r > 1$

- We do not know if

$$Z^r(Z)/Z^r(Z)_{\text{alg}}$$

is a finitely generated abelian group.

- In general

$$A^r(X) = Z^r(X)_{\text{alg}}/Z^r(X)_{\text{rat}}$$

is not representable. That is:

In studying algebraic cycles we encounter objects which are geometric in content and simultaneously not representable!

Let $k \cong \mathbb{C}$ and $U = \Sigma \setminus \Delta$.

Theorem (Paucar - S., 2022/2024)

(a) For each $t \in U$ there is an abelian variety $A_t \subset B_t$ such that

$$G_t = \ker(r_{t*}) = \bigcup_{\text{countable}} \text{translates of } A_t$$

(b) For a very general $t \in U$ (i.e. for every t in a c -open subset U_0 of U) either

1. $A_t = B_t$, and then $G_t = \bigcup_{\text{countable}} \text{translates of } B_t$, or
2. $A_t = 0$, and then G_t is countable.

(c) If $\text{alb}_S : \text{CH}_0(S)_{\text{hom}} \rightarrow \text{Alb}(S)$ is not an isomorphism, for a very general t in U , then G_t is countable.

- The subset U_0 is countable open \leadsto allows to apply for all t in U_0 in a uniform way the irreducibility of the monodromy representation on the vanishing cohomology of a smooth section (see [DK73], [D74] for the étale cohomology, [La81] for the singular cohomology and [Voill] in a Hodge theoretical context for complex algebraic varieties).
- this is done by viewing $U = \Sigma \setminus \Delta$ as an integral algebraic scheme over k and by passing to the general fiber, i.e. for each closed point t in U_0 there exists a scheme-theoretic isomorphism to the geometric generic point $\bar{\xi}$ over k' , where k' is the minimal field of definition of S [Wei62]. This induces a scheme-theoretic isomorphism κ_t between the corresponding varieties C_t and $C_{\bar{\xi}}$ over k' .

The groups $\mathrm{CH}_0(C_t)$ and $\mathrm{CH}_0(C_{\bar{\xi}})$ are presented by abelian varieties A_t and $A_{\bar{\xi}}$, respectively, for t in U_0 , as C_t is a smooth curve.

This induces an isomorphism κ'_t between A_t and $A_{\bar{\xi}}$ compatible with the isomorphism on Chow groups induced by the isomorphism κ_t .

Then by [BG20] $\kappa'_t(A_t) = A_{\bar{\xi}}$ and $\kappa'_t(B_t) = B_{\bar{\xi}}$ for every k -point in U_0 .

- **Goal:** extend the argument to an **algebraically closed field** k
Describe the **Gysin kernel** G_t for the points t in $U \setminus U_0$ by the local and global monodromy representations, i.e. the action of the fundamental groups $\pi_1(V, t)$, where $V = (\Sigma \setminus \Delta) \cap D$ with D a line containing t in the dual space \mathbb{P}^{d^*} such that f_D is a Lefschetz pencil for S , and $\pi_1(U, t)$ on the vanishing cohomology $H^1(C_t, k')_{\text{van}}$.
- **Approach:** for **k uncountable** and of **positive characteristic**: give similar arguments as in the zero characteristic case where possible, use two Comparison Theorems and the existence of a lift from positive to zero characteristic given by the ring of Witt vectors and its residue field (Werner - S., 2024).

- Consider algebraic stacks as a generalisation of complex algebraic varieties

Construct a **stratification** $\{\mathcal{U}_i \subseteq U\}_{i \in I}$ of U by countable open substacks for each of which the monodromy argument applies for all $t \in \mathcal{U}_i$ in a uniform way (i.e. for a partially ordered, at most countable set I we have $\mathcal{U}_i \subseteq \mathcal{U}_j$ if $i \leq j$ and two additional conditions on I for finiteness from below and for every map $\alpha : \operatorname{Spec}(k) \rightarrow U$ the set $\{i \in I : \alpha \text{ factors through } \mathcal{U}_i\}$ has a smallest element (cf. [GL19], Def. 5.2.1.1).

We then apply a convergence argument for the stratification $\{\mathcal{U}_i\}_{i \in I}$ (cf. [GL19], Def. 5.2.2.1) such that the monodromy argument applies in a uniform way for all $t \in U$, seen as the set-theoretic directed union $U = \bigcup_{\vec{i}} \mathcal{U}_i$.

Think of k as the field $\overline{\mathbb{F}_p((x))}$, the algebraic closure of the formal Laurent series over \mathbb{F}_p , since there is a unique (up to non-canonical isomorphism) algebraically closed field of characteristic p of cardinality \mathcal{K} .

Every algebraically closed field is perfect thus we have an unramified complete discrete valuation ring $W(k)$ such that $\text{char}(W(k)) = 0$ with k as its residue field. Let $K = \text{Frac}(W(k))$ be the fraction field of $W(k)$ and \overline{K} its algebraic closure.

$W(k)$ is called the ring of Witt vectors.

We have the *Lefschetz's principle* "there is but one algebraic geometry of characteristic p for each value of p "

and the stronger statement "algebraic geometry over an arbitrary algebraically closed field of characteristic 0 is 'the same' as algebraic geometry over \mathbb{C} ".

J. Barwise and P. Eklo proved it meta-mathematically [BaEk69].

Thus, doing algebraic geometry over \overline{K} is 'the same' as doing algebraic geometry over \mathbb{C} .

With the two comparison theorems we switch from working with singular cohomology to étale cohomology and then working with the étale cohomology over the special fiber:

Theorem (Milne 2013, 21.1)

Let X be a nonsingular variety over \mathbb{C} . For any finite abelian group Λ and $r \geq 0$, $H_{\text{et}}^r(X, \Lambda) \cong H^r(X, \Lambda)$, where $H^r(X, \Lambda)$ is the classic singular cohomology.

Theorem (Milne 2013, 20.5)

Suppose that a variety X_0 over an algebraically closed field k of characteristic $p \neq 0$ can be lifted to a variety X_1 over a fixed field K of characteristic zero. For any abelian group Λ ,

$$H^r(X_0, \Lambda) \cong H^r(X_{1, \overline{K}}, \Lambda),$$

where $X_{1, \overline{K}}$ is the variety over the algebraic closure of K .

In the art of proving part (a) of the theorem on the Gysin kernel we show that all pre-lemmas and propositions about the countability of varieties, regularity and representability of the Chow group $CH_0(X)_{\deg=0}$ of 0-cycles of degree zero still hold true in the general setting.

Then we use cohomological arguments, the lift and comparison theorems on the previous results showed initially over \mathbb{C} .

For part (b), we are highly inspired and follow closely [BG20, 6] to show that the (étale) fundamental group $\pi_1(U_0, \overline{\eta})$ based on the geometric generic point acts continuously on the cohomology groups we work with. In particular, we can always work with a Lefschetz pencil and analyze the vanishing cycles which are irreducible.

Affine schemes, schemes and algebraic stacks

Definition (Ringed space)

A ringed space (X, \mathcal{O}_X) is a topological space X together with a sheaf of rings \mathcal{O}_X on X . The sheaf \mathcal{O}_X is called the structure sheaf of X .

Definition (Locally ringed space)

A locally ringed space is a ringed space (X, \mathcal{O}_X) such that all stalks of \mathcal{O}_X are local rings (i.e. they have unique maximal ideals).

Notice: It is not required that $\mathcal{O}_X(U)$ be a local ring for every open set U ; in fact, this is almost never the case.

Definition (Affine scheme)

Let R be a commutative ring. An affine scheme is a locally ringed space S such that $S \cong \operatorname{Spec}(R)$.

Definition (Scheme)

A scheme is a locally ringed space S admitting a covering by open sets U_i , s.t. each U_i (as a locally ringed space) is an affine scheme. In particular: S comes with a sheaf $\mathcal{O}_S : U \mapsto \mathcal{O}_S(U)$ for every open subset U , the ring of regular functions on U .

→ think of a scheme S as being covered by “coordinate charts” which are affine schemes

→ obtain a scheme S by glueing together affine schemes using the Zariski topology

Example: (Affine scheme)

\mathbb{A}_k^n = affine n -space over a field k , for $n \in \mathbb{N}$

by definition we have $\mathbb{A}_k^n := \operatorname{Spec}(k[x_1, \dots, x_n])$

it can be defined over any commutative ring R :

$\mathbb{A}_k^n := \operatorname{Spec}(R[x_1, \dots, x_n])$

Example: (Scheme)

- Every affine scheme $S := \operatorname{Spec}(R)$ is a scheme
- A polynomial $f \in k[x_1, \dots, x_n]$ determines a closed subscheme $f \equiv 0$ in affine space \mathbb{A}_k^n , called an affine hypersurface. Formally this is

$$\operatorname{Spec}(k[x_1, \dots, x_n]/(f))$$

for example: let $k \cong \mathbb{C}$, then $x^2 = y^2(y+1)$ defines a singular curve in $\mathbb{A}_{\mathbb{C}}^2$, a nodal cubic curve.

- \mathbb{P}_R^n can be constructed as a scheme: glueing $(n+1)$ copies of affine n -space over R along open subsets

$$\mathbb{A}_R^n \otimes_n \mathbb{A}_R^n \otimes_n \dots \otimes_n \mathbb{A}_R^n \quad (n+1) \text{ copies}$$

Remark: An algebraic variety X over a field k can be defined as a scheme with certain properties. There are different conventions which schemes should be called varieties. One standard choice: a variety X/k is an integral algebraic scheme of finite type over k .

Remark: A smooth scheme is a generalisation of the concept of a non-singular algebraic variety.

In particular: a smooth scheme of finite type over \bar{k} is a non-singular algebraic variety.

Let $k \cong \mathbb{C}$. A non-singular algebraic variety X/k has the structure of a complex analytic manifold.

Let S be a k -scheme.

Definition (Algebraic stack)

\mathcal{X} is an algebraic stack if there exists a scheme U and a map $U \rightarrow \mathcal{X}$ which is representable by smooth surjections.

In other words: for every S -valued point of \mathcal{X} , the fiber product $U \times_{\mathcal{X}} S$ is representable by a smooth S -scheme U_S with non-empty fibers.

Definition (Gaitsgory - Lurie, 2019)

Let \mathcal{X} be an algebraic stack. A **stratification** of \mathcal{X} consists of the following data:

- (a) A partially ordered set A .
- (b) A collection of open substacks $\{\mathcal{U}_\alpha \subseteq \mathcal{X}\}_{\alpha \in A}$ satisfying $\mathcal{U}_\alpha \subseteq \mathcal{U}_\beta$ when $\alpha \leq \beta$.

This data is required to satisfy the following conditions:

- For each index $\alpha \in A$, the set $\{\beta \in A : \beta \leq \alpha\}$ is finite.
- For every field m and every map $\eta : \operatorname{Spec}(m) \rightarrow \mathcal{X}$, the set

$$\{\alpha \in A : \eta \text{ factors through } \mathcal{U}_\alpha\}$$

has a least element.

Let \mathcal{X} be an algebraic stack equipped with a stratification $\{\mathcal{U}_\alpha\}_{\alpha \in A}$. Notation: For each $\alpha \in A$, we let \mathcal{X}_α denote the reduced closed substack of \mathcal{X} given by the complement of $\cup_{\beta < \alpha} \mathcal{U}_\beta$. Each \mathcal{X}_α is a locally closed substack of \mathcal{X} , called the **strata** of \mathcal{X} .

Remark

A stratification $\{\mathcal{U}_\alpha\}_{\alpha \in A}$ is determined by the partially ordered set A together with a collection of locally closed substacks $\{\mathcal{X}_\alpha\}_{\alpha \in A}$: each \mathcal{U}_α is an open substack of \mathcal{X} and if m is a field, then a map $\eta : \text{Spec}(m) \rightarrow \mathcal{X}$ factors through \mathcal{U}_α iff it factors through \mathcal{X}_β for some $\beta \leq \alpha$. So one identifies the stratification of \mathcal{X} with the locally closed substacks $\{\mathcal{X}_\alpha \subseteq \mathcal{X}\}_{\alpha \in A}$ (where the partial ordering of A is understood to be implicitly specified).

Remark

*If m is a field, then for any map $\eta : \text{Spec}(m) \rightarrow \mathcal{X}$ there is a **unique** index $\alpha \in A$ such that η factors through \mathcal{X}_α . In other words, \mathcal{X} is the **set-theoretic** union of the locally closed substacks \mathcal{X}_α .*

Definition

Let $m = \mathbb{C}$ or $m = \mathbb{F}_q$ and let \mathcal{X} be an algebraic stack of finite type over $\mathrm{Spec}(m)$. A stratification $\{\mathcal{X}_\alpha\}_{\alpha \in A}$ of \mathcal{X} is **convergent** if there exists a finite collection of algebraic stacks $\mathcal{T}_1, \dots, \mathcal{T}_n$ over $\mathrm{Spec}(m)$ with the following properties:

- (1) For each $\alpha \in A$ there exists an integer $i \in \{1, 2, \dots, n\}$ and a diagram of algebraic stacks $\mathcal{T}_i \xrightarrow{f} \tilde{\mathcal{X}}_\alpha \xrightarrow{g} \mathcal{X}_\alpha$ where the map f is a fiber bundle (locally trivial with respect to the étale topology) whose fibers are affine spaces of some fixed dimension d_α and the map g is surjective, finite and radicial.
- (2) The nonnegative integers d_α in (1) satisfy $\sum_{\alpha \in A} q^{-d_\alpha} < \infty$.
- (3) For $1 \leq i \leq n$, the algebraic stack \mathcal{T}_i can be written as a stack-theoretic quotient Y/G , where Y is an algebraic space of finite type over m and G is a linear algebraic group over m acting on Y .

Remark

In the definition, for $\text{char}(m) > 0$ the hypothesis (2) guarantees that the set A is at most countable. For $\text{char}(m) = 0$ we will assume A to be at most countable.

We have the following

Lemma (Gysin sequence)

Let X and Y be smooth quasi-projective varieties over an algebraically closed field k , let $g: Y \rightarrow X$ be a finite radicial morphism, and let $U \subseteq X$ be the complement of the image of g . Then there is a canonical fiber sequence

$$C^{*-2d}(Y; \mathbb{Z}_l(-d)) \rightarrow C^*(X, \mathbb{Z}_l) \rightarrow C^*(U; \mathbb{Z}_l),$$

where d denotes the relative dimension $\dim(X) - \dim(Y)$.

This implies a corresponding result for algebraic stacks:

Lemma

Let \mathcal{X} and \mathcal{Y} be smooth algebraic stacks of constant dimension over an algebraically closed field k , let $g: \mathcal{Y} \rightarrow \mathcal{X}$ be a finite radicial morphism, and let $\mathcal{U} \subseteq \mathcal{X}$ be the open substack of \mathcal{X} complementary to the image of g . Then there is a canonical fiber sequence

$$C^{*-2d}(\mathcal{Y}; \mathbb{Z}_l(-d)) \rightarrow C^*(\mathcal{X}, \mathbb{Z}_l) \rightarrow C^*(\mathcal{U}; \mathbb{Z}_l),$$

where d denotes the relative dimension $\dim(\mathcal{X}) - \dim(\mathcal{Y})$.

We have the following

Theorem (S., 2025)

Let $U := \Sigma \setminus \Delta$. There exists a convergent stratification $\{\mathcal{U}_\alpha\}_{\alpha \in A}$ of U by countable open substacks for each of which the irreducibility of the monodromy representation applies for all $t \in \mathcal{U}_\alpha$ in a uniform way such that the monodromy argument applies for all $t \in U$ in a uniform way, seen as the set-theoretic directed union $U = \bigcup_{\rightarrow i} \mathcal{U}_i$.

Proof.

Let $d = \dim(U)$ and let $\{\mathcal{X}_\alpha\}_{\alpha \in A}$ be the given stratification of U . The set A is at most countable (see Remark). By adding additional elements to A and assigning to each of those additional elements the empty substack of U , we may assume that A is infinite.

By assumption the set $\{\beta \in A : \beta \leq \alpha\}$ is finite for each $\alpha \in A$, hence we can choose an enumeration

$$A = \{\alpha_0, \alpha_1, \alpha_2, \dots\}$$

where each initial segment is a downward-closed subset of A . We can then write U as the union of an increasing sequence of open substacks

$$\mathcal{U}_0 \hookrightarrow \mathcal{U}_1 \hookrightarrow \mathcal{U}_2 \hookrightarrow \dots$$

where \mathcal{U}_n is characterized by the requirement that if m is a field, then a map $\eta : \operatorname{Spec}(m) \rightarrow \mathcal{X}$ factors through \mathcal{U}_n iff it factors through one of the substacks $\mathcal{X}_{\alpha_0}, \mathcal{X}_{\alpha_1}, \dots, \mathcal{X}_{\alpha_n}$.

By hypothesis, there exists a finite collection $\{\mathcal{T}_i\}_{1 \leq i \leq s}$ of smooth algebraic stacks over $\mathrm{Spec}(m)$, where each \mathcal{T}_i has some fixed dimension d_i and for each $n \geq 0$ there exists an index $i(n) \in \{1, \dots, s\}$ and a diagram

$$\mathcal{T}_{i(n)} \xrightarrow{f_n} \tilde{\mathcal{X}}_{\alpha_n} \xrightarrow{g_n} \mathcal{X}_{\alpha_n},$$

where g_n is a finite radicial surjection and f_n is an étale fiber bundle whose fibers are affine spaces of some fixed dimension $e(n)$. Set

$$\overline{U} = U \times_{\mathrm{Spec}(m)} \mathrm{Spec}(\overline{m})$$

$$\overline{\mathcal{U}}_n = \mathcal{U}_n \times_{\mathrm{Spec}(m)} \mathrm{Spec}(\overline{m})$$

$$\overline{\mathcal{T}}_i = \mathcal{T}_i \times_{\mathrm{Spec}(m)} \mathrm{Spec}(\overline{m})$$

The map f_n induces an isomorphism in l -adic cohomology. Applying the above Lemma to the finite radicial map

$$g_n : \overline{\mathcal{X}}_{\alpha_n} \times_{\mathrm{Spec}(m)} \mathrm{Spec}(\overline{m}) \rightarrow \overline{U}_n$$

we obtain fiber sequences

$$C^{*-2e'_n}(\overline{\mathcal{T}}_{i(n)}; \mathbb{Z}_l(-e'_n)) \rightarrow C^*(\overline{U}_n, \mathbb{Z}_l) \rightarrow C^*(\overline{U}_{n-1}; \mathbb{Z}_l),$$

where $e'_n = e_n + d - d_{i(n)}$ denotes the relative dimension of the map $\tilde{\mathcal{X}}_{\alpha_n} \rightarrow U$. We have a canonical equivalence

$$\Theta : C^*(\overline{U}; \mathbb{Z}_l) \simeq \varprojlim_n C^*(\overline{U}_n, \mathbb{Z}_l).$$

Passing by the fiber complexes (Gysin sequence, Lemmas above) to the level of stacks, by the increasing sequence of c-open substacks

$$\mathcal{U}_0 \hookrightarrow \mathcal{U}_1 \hookrightarrow \mathcal{U}_2 \hookrightarrow \dots$$

we obtain $U = \bigcup_{\rightarrow n} \mathcal{U}_n$.



On stacks and topological spaces

Let k be an algebraically closed field, let X be an algebraic curve over k , and let G be a smooth affine group scheme over X .

Goal: understand the **cohomology of the moduli stack** $\mathrm{Bun}_G(X)$ of principle G -bundles on X .

Here: Let $k = \mathbb{C}$ and let G be semisimple simply connected.

Calculating the cohomology of $\mathrm{Bun}_G(X) \leadsto$ **can be seen as a purely topological problem**, using classical methods.

Assume additionally that the group scheme G is constant (i.e. it arises from a linear algebraic group over \mathbb{C}) \leadsto there is a simple explicit description of the **rational cohomology ring** $H^*(\mathrm{Bun}_G(X); \mathbb{Q})$, due originally to Atiyah and Bott.

Let BG denote a **classifying space of G** , in the sense of algebraic topology: that is a quotient EG/G , where EG is a contractible space equipped with a free action of G .

BG has the **universal mapping property**: for any reasonably well-behaved topological space Y (e.g. any paracompact manifold), the construction

$$(f: Y \rightarrow BG) \mapsto Y \times_{BG} EG$$

determines a bijection from the set $[Y, BG]$ of homotopy classes of continuous maps from Y into BG to the set of isomorphism classes of G -bundles on Y (in the category of topological spaces).

Let M be a manifold and $\text{Map}(M, BG) := \{f : M \rightarrow BG \mid f \text{ continuous}\}$.
Regard $\text{Map}(M, BG)$ as a topological space by equipping it with the compact open topology.

\leadsto $\text{Map}(M, BG)$ classifies G -bundles over M in the category of topological spaces: for any sufficiently nice parameter space Y identify homotopy classes of maps from Y to $\text{Map}(M, BG)$ with isomorphism classes of principal G -bundles on the product $M \times Y$ in the category of topological spaces.

We have the following

Proposition (Gaitsgory-Lurie, 2019)

Let X be a smooth projective algebraic curve over \mathbb{C} that we identify with the compact Riemann surface $X(\mathbb{C})$ of \mathbb{C} -valued points of X . Let $\mathrm{Bun}_G(X) = \mathrm{Bun}_{G \times_{\mathrm{Spec}(\mathbb{C})} X}(X)$ denote the moduli stack of G -bundles on X . There is a canonical homotopy equivalence

$$\mathrm{Bun}_G(X) \simeq \mathrm{Map}(X, BG).$$

Remark

*The moduli stack $\mathrm{Bun}_G(X)$ and the mapping space $\mathrm{Map}(X, BG)$ both have **universal properties**: the former classifies G -bundles in the setting of algebraic geometry, and the latter classifies G -bundles in the setting of topological spaces.*

The Atiyah-Bott Formula

- may identify the **cohomology of the moduli stack** $\mathrm{Bun}_G(X)$ with the **cohomology of the mapping space** $\mathrm{Map}(X, BG)$.
- give an **explicit description of the rational cohomology** of $\mathrm{Map}(X, BG)$.

Starting point: the description of the rational cohomology of BG itself:

Proposition

Let G be a simply-connected linear algebraic group over \mathbb{C} and let BG denote the classifying space of G . Then the cohomology ring $H^(BG; \mathbb{Q})$ is isomorphic to a polynomial algebra on finitely many homogeneous generators x_1, x_2, \dots, x_r with even degrees $e_1, \dots, e_r \geq 4$.*

Let $V = V^*$ be a graded vector space over \mathbb{Q} and let $\mathrm{Sym}^*(V)$ denote the free graded-commutative algebra generated by V .

Theorem (Atiyah-Bott)

There is an isomorphism of graded algebras

$$\mathrm{Sym}^*(H_*(X; \mathbb{Q}) \times_{\mathbb{Q}} V) \rightarrow H^*(\mathrm{Map}(X, \mathrm{BG}); \mathbb{Q}).$$

More explicitly: let g be the genus of the curve X and let e_1, e_2, \dots, e_r denote the degrees of the polynomial generators of the cohomology ring $H^*(\mathrm{BG}; \mathbb{Q})$. The cohomology ring $H^*(\mathrm{Map}(X; \mathrm{BG}); \mathbb{Q})$ is isomorphic to a tensor product of a polynomial ring on $2r$ generators (of degrees e_i and $e_i - 2$ for $1 \leq i \leq r$) with an exterior algebra on $2gr$ generators (with $2g$ generators of each degree $e_i - 1$).

Māuruuru no te haapāpū mai!



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